
Introduced by Senator Vargas

February 18, 2011

An act to amend ~~Section~~ *Sections 15630, 15630.1, and 15658* of the Welfare and Institutions Code, relating to public social services.

LEGISLATIVE COUNSEL'S DIGEST

SB 718, as amended, Vargas. Elder abuse: mandated reporting.

Existing law requires specified people, known as mandated reporters, to report cases of elder abuse, as defined, ~~to a county adult protective services agency~~. Existing law requires mandated reporters, *after reporting the abuse by telephone*, to send written reports to specified entities containing prescribed information.

This bill would authorize the required reports to be submitted ~~to a county adult protective services agency~~ through a confidential Internet reporting tool, if the county *or long-term care ombudsman* implements such a system, ~~so long as the information gathered meets the existing requirements for written reports~~.

Vote: majority. Appropriation: no. Fiscal committee: ~~no~~ yes.
State-mandated local program: no.

The people of the State of California do enact as follows:

- 1 *SECTION 1. Section 15630 of the Welfare and Institutions*
- 2 *Code is amended to read:*
- 3 15630. (a) Any person who has assumed full or intermittent
- 4 responsibility for the care or custody of an elder or dependent
- 5 adult, whether or not he or she receives compensation, including

1 administrators, supervisors, and any licensed staff of a public or
2 private facility that provides care or services for elder or dependent
3 adults, or any elder or dependent adult care custodian, health
4 practitioner, clergy member, or employee of a county adult
5 protective services agency or a local law enforcement agency, is
6 a mandated reporter.

7 (b) (1) Any mandated reporter who, in his or her professional
8 capacity, or within the scope of his or her employment, has
9 observed or has knowledge of an incident that reasonably appears
10 to be physical abuse, as defined in Section 15610.63 of the Welfare
11 and Institutions Code, abandonment, abduction, isolation, financial
12 abuse, or neglect, or is told by an elder or dependent adult that he
13 or she has experienced behavior, including an act or omission,
14 constituting physical abuse, as defined in Section 15610.63 of the
15 Welfare and Institutions Code, abandonment, abduction, isolation,
16 financial abuse, or neglect, or reasonably suspects that abuse, shall
17 report the known or suspected instance of abuse by telephone *or*
18 *Internet* immediately or as soon as practicably possible, and, *if*
19 *reported by telephone*, by written report *or by Internet report* sent
20 within two working days, as follows:

21 (A) If the abuse has occurred in a long-term care facility, except
22 a state mental health hospital or a state developmental center, the
23 report shall be made to the local ombudsperson or the local law
24 enforcement agency.

25 The local ombudsperson and the local law enforcement agency
26 shall, as soon as practicable, except in the case of an emergency
27 or pursuant to a report required to be made pursuant to clause (v),
28 in which case these actions shall be taken immediately, do all of
29 the following:

30 (i) Report to the State Department of Public Health any case of
31 known or suspected abuse occurring in a long-term health care
32 facility, as defined in subdivision (a) of Section 1418 of the Health
33 and Safety Code.

34 (ii) Report to the State Department of Social Services any case
35 of known or suspected abuse occurring in a residential care facility
36 for the elderly, as defined in Section 1569.2 of the Health and
37 Safety Code, or in an adult day care facility, as defined in paragraph
38 (2) of subdivision (a) of Section 1502.

39 (iii) Report to the State Department of Public Health and the
40 California Department of Aging any case of known or suspected

1 abuse occurring in an adult day health care center, as defined in
2 subdivision (b) of Section 1570.7 of the Health and Safety Code.

3 (iv) Report to the Bureau of Medi-Cal Fraud and Elder Abuse
4 any case of known or suspected criminal activity.

5 (v) Report all cases of known or suspected physical abuse and
6 financial abuse to the local district attorney's office in the county
7 where the abuse occurred.

8 (B) If the suspected or alleged abuse occurred in a state mental
9 hospital or a state developmental center, the report shall be made
10 to designated investigators of the State Department of Mental
11 Health or the State Department of Developmental Services, or to
12 the local law enforcement agency.

13 Except in an emergency, the local law enforcement agency shall,
14 as soon as practicable, report any case of known or suspected
15 criminal activity to the Bureau of Medi-Cal Fraud and Elder Abuse.

16 (C) If the abuse has occurred any place other than one described
17 in subparagraph (A), the report shall be made to the adult protective
18 services agency or the local law enforcement agency.

19 (2) (A) A mandated reporter who is a clergy member who
20 acquires knowledge or reasonable suspicion of elder or dependent
21 adult abuse during a penitential communication is not subject to
22 paragraph (1). For purposes of this subdivision, "penitential
23 communication" means a communication that is intended to be in
24 confidence, including, but not limited to, a sacramental confession
25 made to a clergy member who, in the course of the discipline or
26 practice of his or her church, denomination, or organization is
27 authorized or accustomed to hear those communications and under
28 the discipline tenets, customs, or practices of his or her church,
29 denomination, or organization, has a duty to keep those
30 communications secret.

31 (B) Nothing in this subdivision shall be construed to modify or
32 limit a clergy member's duty to report known or suspected elder
33 and dependent adult abuse when he or she is acting in the capacity
34 of a care custodian, health practitioner, or employee of an adult
35 protective services agency.

36 (C) Notwithstanding any other provision in this section, a clergy
37 member who is not regularly employed on either a full-time or
38 part-time basis in a long-term care facility or does not have care
39 or custody of an elder or dependent adult shall not be responsible
40 for reporting abuse or neglect that is not reasonably observable or

discernible to a reasonably prudent person having no specialized training or experience in elder or dependent care.

(3) (A) A mandated reporter who is a physician and surgeon, a registered nurse, or a psychotherapist, as defined in Section 1010 of the Evidence Code, shall not be required to report, pursuant to paragraph (1), an incident where all of the following conditions exist:

(i) The mandated reporter has been told by an elder or dependent adult that he or she has experienced behavior constituting physical abuse, as defined in Section 15610.63 of the Welfare and Institutions Code, abandonment, abduction, isolation, financial abuse, or neglect.

(ii) The mandated reporter is not aware of any independent evidence that corroborates the statement that the abuse has occurred.

(iii) The elder or dependent adult has been diagnosed with a mental illness or dementia, or is the subject of a court-ordered conservatorship because of a mental illness or dementia.

(iv) In the exercise of clinical judgment, the physician and surgeon, the registered nurse, or the psychotherapist, as defined in Section 1010 of the Evidence Code, reasonably believes that the abuse did not occur.

(B) This paragraph shall not be construed to impose upon mandated reporters a duty to investigate a known or suspected incident of abuse and shall not be construed to lessen or restrict any existing duty of mandated reporters.

(4) (A) In a long-term care facility, a mandated reporter shall not be required to report as a suspected incident of abuse, as defined in Section 15610.07, an incident where all of the following conditions exist:

(i) The mandated reporter is aware that there is a proper plan of care.

(ii) The mandated reporter is aware that the plan of care was properly provided or executed.

(iii) A physical, mental, or medical injury occurred as a result of care provided pursuant to clause (i) or (ii).

(iv) The mandated reporter reasonably believes that the injury was not the result of abuse.

(B) This paragraph shall not be construed to require a mandated reporter to seek, nor to preclude a mandated reporter from seeking,

1 information regarding a known or suspected incident of abuse prior
2 to reporting. This paragraph shall apply only to those categories
3 of mandated reporters that the State Department of Public Health
4 determines, upon approval by the Bureau of Medi-Cal Fraud and
5 Elder Abuse and the state long-term care ombudsperson, have
6 access to plans of care and have the training and experience
7 necessary to determine whether the conditions specified in this
8 section have been met.

9 (c) (1) Any mandated reporter who has knowledge, or
10 reasonably suspects, that types of elder or dependent adult abuse
11 for which reports are not mandated have been inflicted upon an
12 elder or dependent adult, or that his or her emotional well-being
13 is endangered in any other way, may report the known or suspected
14 instance of abuse.

15 (2) If the suspected or alleged abuse occurred in a long-term
16 care facility other than a state mental health hospital or a state
17 developmental center, the report may be made to the long-term
18 care ombudsperson program. Except in an emergency, the local
19 ombudsperson shall report any case of known or suspected abuse
20 to the State Department of Public Health and any case of known
21 or suspected criminal activity to the Bureau of Medi-Cal Fraud
22 and Elder Abuse, as soon as is practicable.

23 (3) If the suspected or alleged abuse occurred in a state mental
24 health hospital or a state developmental center, the report may be
25 made to the designated investigator of the State Department of
26 Mental Health or the State Department of Developmental Services
27 or to a local law enforcement agency or to the local ombudsperson.
28 Except in an emergency, the local ombudsperson and the local law
29 enforcement agency shall report any case of known or suspected
30 criminal activity to the Bureau of Medi-Cal Fraud and Elder Abuse,
31 as soon as is practicable.

32 (4) If the suspected or alleged abuse occurred in a place other
33 than a place described in paragraph (2) or (3), the report may be
34 made to the county adult protective services agency.

35 (5) If the conduct involves criminal activity not covered in
36 subdivision (b), it may be immediately reported to the appropriate
37 law enforcement agency.

38 (d) When two or more mandated reporters are present and jointly
39 have knowledge or reasonably suspect that types of abuse of an
40 elder or a dependent adult for which a report is or is not mandated

1 have occurred, and when there is agreement among them, the
2 telephone *or Internet* report may be made by a member of the team
3 selected by mutual agreement, and a single report may be made
4 and signed by the selected member of the reporting team. Any
5 member who has knowledge that the member designated to report
6 has failed to do so shall thereafter make the report.

7 (e) A telephone *or Internet* report of a known or suspected
8 instance of elder or dependent adult abuse shall include, if known,
9 the name of the person making the report, the name and age of the
10 elder or dependent adult, the present location of the elder or
11 dependent adult, the names and addresses of family members or
12 any other adult responsible for the elder's or dependent adult's
13 care, the nature and extent of the elder's or dependent adult's
14 condition, the date of the incident, and any other information,
15 including information that led that person to suspect elder or
16 dependent adult abuse, as requested by the agency receiving the
17 report.

18 (f) The reporting duties under this section are individual, and
19 no supervisor or administrator shall impede or inhibit the reporting
20 duties, and no person making the report shall be subject to any
21 sanction for making the report. However, internal procedures to
22 facilitate reporting, ensure confidentiality, and apprise supervisors
23 and administrators of reports may be established, provided they
24 are not inconsistent with this chapter.

25 (g) (1) Whenever this section requires a county adult protective
26 services agency to report to a law enforcement agency, the law
27 enforcement agency shall, immediately upon request, provide a
28 copy of its investigative report concerning the reported matter to
29 that county adult protective services agency.

30 (2) Whenever this section requires a law enforcement agency
31 to report to a county adult protective services agency, the county
32 adult protective services agency shall, immediately upon request,
33 provide to that law enforcement agency a copy of its investigative
34 report concerning the reported matter.

35 (3) The requirement to disclose investigative reports pursuant
36 to this subdivision shall not include the disclosure of social services
37 records or case files that are confidential, nor shall this subdivision
38 be construed to allow disclosure of any reports or records if the
39 disclosure would be prohibited by any other provision of state or
40 federal law.

(h) Failure to report, or impeding or inhibiting a report of, physical abuse, as defined in Section 15610.63 of the Welfare and Institutions Code, abandonment, abduction, isolation, financial abuse, or neglect of an elder or dependent adult, in violation of this section, is a misdemeanor, punishable by not more than six months in the county jail, by a fine of not more than one thousand dollars (\$1,000), or by both that fine and imprisonment. Any mandated reporter who willfully fails to report, or impedes or inhibits a report of, physical abuse, as defined in Section 15610.63 of the Welfare and Institutions Code, abandonment, abduction, isolation, financial abuse, or neglect of an elder or dependent adult, in violation of this section, where that abuse results in death or great bodily injury, shall be punished by not more than one year in a county jail, by a fine of not more than five thousand dollars (\$5,000), or by both that fine and imprisonment. If a mandated reporter intentionally conceals his or her failure to report an incident known by the mandated reporter to be abuse or severe neglect under this section, the failure to report is a continuing offense until a law enforcement agency specified in paragraph (1) of subdivision (b) of Section 15630 of the Welfare and Institutions Code discovers the offense.

(i) For purposes of this section, “dependent adult” shall have the same meaning as in Section 15610.23.

SEC. 2. Section 15630.1 of the Welfare and Institutions Code is amended to read:

15630.1. (a) As used in this section, “mandated reporter of suspected financial abuse of an elder or dependent adult” means all officers and employees of financial institutions.

(b) As used in this section, the term “financial institution” means any of the following:

(1) A depository institution, as defined in Section 3(c) of the Federal Deposit Insurance Act (12 U.S.C. Sec. 1813(c)).

(2) An institution-affiliated party, as defined in Section 3(u) of the Federal Deposit Insurance Act (12 U.S.C. Sec. 1813(u)).

(3) A federal credit union or state credit union, as defined in Section 101 of the Federal Credit Union Act (12 U.S.C. Sec. 1752), including, but not limited to, an institution-affiliated party of a credit union, as defined in Section 206(r) of the Federal Credit Union Act (12 U.S.C. Sec. 1786(r)).

1 (c) As used in this section, “financial abuse” has the same
2 meaning as in Section 15610.30.

3 (d) (1) Any mandated reporter of suspected financial abuse of
4 an elder or dependent adult who has direct contact with the elder
5 or dependent adult or who reviews or approves the elder or
6 dependent adult’s financial documents, records, or transactions,
7 in connection with providing financial services with respect to an
8 elder or dependent adult, and who, within the scope of his or her
9 employment or professional practice, has observed or has
10 knowledge of an incident, that is directly related to the transaction
11 or matter that is within that scope of employment or professional
12 practice, that reasonably appears to be financial abuse, or who
13 reasonably suspects that abuse, based solely on the information
14 before him or her at the time of reviewing or approving the
15 document, record, or transaction in the case of mandated reporters
16 who do not have direct contact with the elder or dependent adult,
17 shall report the known or suspected instance of financial abuse by
18 telephone *or Internet* immediately, or as soon as practicably
19 possible, and, *if reported by telephone*, by written report *or by*
20 *Internet report* sent within two working days to the local adult
21 protective services agency or the local law enforcement agency.

22 (2) When two or more mandated reporters jointly have
23 knowledge or reasonably suspect that financial abuse of an elder
24 or a dependent adult for which the report is mandated has occurred,
25 and when there is an agreement among them, the telephone *or*
26 *Internet* report may be made by a member of the reporting team
27 who is selected by mutual agreement. A single report may be made
28 and signed by the selected member of the reporting team. Any
29 member of the team who has knowledge that the member
30 designated to report has failed to do so shall thereafter make that
31 report.

32 (3) If the mandated reporter knows that the elder or dependent
33 adult resides in a long-term care facility, as defined in Section
34 15610.47, the report shall be made to the local ombudsman or local
35 law enforcement agency.

36 (e) An allegation by the elder or dependent adult, or any other
37 person, that financial abuse has occurred is not sufficient to trigger
38 the reporting requirement under this section if both of the following
39 conditions are met:

1 (1) The mandated reporter of suspected financial abuse of an
2 elder or dependent adult is aware of no other corroborating or
3 independent evidence of the alleged financial abuse of an elder or
4 dependent adult. The mandated reporter of suspected financial
5 abuse of an elder or dependent adult is not required to investigate
6 any accusations.

7 (2) In the exercise of his or her professional judgment, the
8 mandated reporter of suspected financial abuse of an elder or
9 dependent adult reasonably believes that financial abuse of an
10 elder or dependent adult did not occur.

11 (f) Failure to report financial abuse under this section shall be
12 subject to a civil penalty not exceeding one thousand dollars
13 (\$1,000) or if the failure to report is willful, a civil penalty not
14 exceeding five thousand dollars (\$5,000), which shall be paid by
15 the financial institution that is the employer of the mandated
16 reporter to the party bringing the action. Subdivision (h) of Section
17 15630 shall not apply to violations of this section.

18 (g) (1) The civil penalty provided for in subdivision (f) shall
19 be recovered only in a civil action brought against the financial
20 institution by the Attorney General, district attorney, or county
21 counsel. No action shall be brought under this section by any
22 person other than the Attorney General, district attorney, or county
23 counsel. Multiple actions for the civil penalty may not be brought
24 for the same violation.

25 (2) Nothing in the Financial Elder Abuse Reporting Act of 2005
26 shall be construed to limit, expand, or otherwise modify any civil
27 liability or remedy that may exist under this or any other law.

28 (h) As used in this section, “suspected financial abuse of an
29 elder or dependent adult” occurs when a person who is required
30 to report under subdivision (a) observes or has knowledge of
31 behavior or unusual circumstances or transactions, or a pattern of
32 behavior or unusual circumstances or transactions, that would lead
33 an individual with like training or experience, based on the same
34 facts, to form a reasonable belief that an elder or dependent adult
35 is the victim of financial abuse as defined in Section 15610.30.

36 (i) Reports of suspected financial abuse of an elder or dependent
37 adult made by an employee or officer of a financial institution
38 pursuant to this section are covered under subdivision (b) of Section
39 47 of the Civil Code.

(j) This section shall remain in effect only until January 1, 2013, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2013, deletes or extends that date.

~~SECTION 1.~~

SEC. 3. Section 15658 of the Welfare and Institutions Code is amended to read:

15658. (a) ~~(1) The~~ A written abuse ~~reports~~ *report* required for the reporting of abuse, as defined in by this chapter, shall be submitted ~~on forms in one of the following ways:~~

(1) *On a form* adopted by the State Department of Social Services after consultation with representatives of the various law enforcement agencies, the California Department of Aging, the State Department of Developmental Services, the State Department of Mental Health, the bureau, professional medical and nursing agencies, hospital associations, and county welfare departments. These reporting forms shall be distributed by the county adult protective services agencies and the long-term care ombudsman programs. This reporting form may also be used for documenting the telephone report of a known or suspected instance of abuse of an elder or dependent adult by the county adult protective services agency, local ombudsman program, and local law enforcement agencies.

(2) *Through a confidential Internet reporting tool, if the county or long-term care ombudsman program chooses to implement such a system.*

~~(2) The forms required by this section~~

(b) *The form required by this section and the confidential Internet reporting tool, if implemented, shall contain the following items:*

~~(A)~~

(1) The name, address, telephone number, and occupation of the person reporting.

~~(B)~~

(2) The name and address of the victim.

~~(C)~~

(3) The date, time, and place of the incident.

~~(D)~~

(4) Other details, including the reporter's observations and beliefs concerning the incident.

~~(E)~~

1 (5) Any statement relating to the incident made by the victim.

2 ~~(F)~~

3 (6) The name of any individuals believed to have knowledge
4 of the incident.

5 ~~(G)~~

6 (7) The name of the individuals believed to be responsible for
7 the incident and their connection to the victim.

8 ~~(b)~~

9 (c) (1) Each county adult protective services agency shall report
10 to the State Department of Social Services monthly on the reports
11 received pursuant to this chapter. The reports shall be made on
12 forms adopted by the department. The information reported shall
13 include, but shall not be limited to, the number of incidents of
14 abuse, the number of persons abused, the type of abuse sustained,
15 and the actions taken on the reports. For purposes of these reports,
16 sexual abuse shall be reported separately from physical abuse.

17 (2) The county's report to the department shall not include
18 reports it receives from the long-term care ombudsman program
19 pursuant to subdivision ~~(e)~~ (d).

20 (3) The department shall refer to the bureau monthly data
21 summaries of the reports of elder and dependent adult abuse,
22 neglect, abandonment, isolation, ~~and~~ financial abuse, and other
23 abuse it receives from county adult protective services agencies.

24 ~~(e)~~

25 (d) Each long-term care ombudsman program shall report to
26 ~~the office of the~~ *Office of the State Long-Term Care Ombudsman*
27 of the California Department of Aging monthly on the reports it
28 receives pursuant to this chapter and shall send a copy to the county
29 adult protective services agency. The office of the ~~state ombudsman~~
30 *State Ombudsman* shall submit a summarized quarterly report to
31 the department based on the monthly reports submitted by local
32 long-term care ombudsman programs. The reports shall be on
33 forms adopted by the department and the office of the ~~state~~
34 ~~ombudsman~~ *State Ombudsman*. The information reported shall
35 include, but shall not be limited to, the number of incidents of
36 abuse, the numbers of persons abused, the type of abuse, and the
37 actions taken on the reports. For purposes of these reports, sexual
38 abuse shall be reported separately from physical abuse.

39 ~~(d) Reports required pursuant to this chapter may be submitted~~
40 ~~to a county adult protective services agency through a confidential~~

1 Internet reporting tool, if the county chooses to implement such a
 2 system, so long as the information gathered meets the requirements
 3 of subdivision (a).

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6 **CORRECTIONS:**

7 **Text—Page 10.**

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